

FORM PTO-1390 (Modified)
(REV 11-2000)

U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE

ATTORNEY'S DOCKET NUMBER

TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371

220681US0XPCT

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR

10/089164

INTERNATIONAL APPLICATION NO.
PCT/FR00/02703INTERNATIONAL FILING DATE
29 September 2000PRIORITY DATE CLAIMED
30 September 1999

TITLE OF INVENTION

METHOD AND DEVICE FOR DETECTING A MOLECULAR RECOGNITION REACTION

APPLICANT(S) FOR DO/EO/US

CHATON Patrick et al.

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (24) indicated below.
 - ☒ The US has been elected by the expiration of 19 months from the priority date (Article 31).
 - ☒ A copy of the International Application as filed (35 U.S.C. 371 (c) (2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
 - ☒ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
 - a. ☒ is attached hereto.
 - b. ☐ has been previously submitted under 35 U.S.C. 154(d)(4).
 - ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371 (c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☒ An oath or declaration of the inventor(s) (35 U.S.C. 371 (c)(4)).
10. ☒ An English language translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371 (c)(5)).
11. ☐ A copy of the International Preliminary Examination Report (PCT/IPEA/409).
12. ☒ A copy of the International Search Report (PCT/ISA/210).

Items 13 to 20 below concern document(s) or information included:

13. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
14. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
15. ☒ A **FIRST** preliminary amendment.
16. ☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
17. ☐ A substitute specification.
18. ☐ A change of power of attorney and/or address letter.
19. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 35 U.S.C. 1.821 - 1.825.
20. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
21. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
22. ☐ Certificate of Mailing by Express Mail
23. ☒ Other items or information:

Notice of Priority/Drawings (3 sheets)

PCT/IB/304/Request for Consideration of Documents Cited in International Search Report
Sequence Listings (Pages 38-44)/Amended Sheets (Pages 46 and 47)

U.S. APPLICATION NO. (IF KNOWN, SEE 37 CFR 10/089164)	INTERNATIONAL APPLICATION NO. PCT/FR00/02703	ATTORNEY'S DOCKET NUMBER 220681US0XPCT
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24. The following fees are submitted:

BASIC NATIONAL FEE (37 CFR 1.492 (a) (1) - (5)) :

- | | | |
|-------------------------------------|---|------------------|
| <input type="checkbox"/> | Neither international preliminary examination fee (37 CFR 1.482) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO | \$1040.00 |
| <input checked="" type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO | \$890.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO | \$740.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4) | \$710.00 |
| <input type="checkbox"/> | International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4) | \$100.00 |

ENTER APPROPRIATE BASIC FEE AMOUNT =

CALCULATIONS PTO USE ONLY

\$890.00

Surcharge of **\$130.00** for furnishing the oath or declaration later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (e)).

\$0.00

CLAIMS	NUMBER FILED	NUMBER EXTRA	RATE	
Total claims	25 - 20 =	5	x \$18.00	\$90.00
Independent claims	1 - 3 =	0	x \$84.00	\$0.00
Multiple Dependent Claims (check if applicable).			<input type="checkbox"/>	\$0.00

Multiple Dependent Claims (check if applicable).

TOTAL OF ABOVE CALCULATIONS =

\$980.00

☒ Applicant claims small entity status. See 37 CFR 1.27). The fees indicated above are reduced by 1/2.

\$0.00

SUBTOTAL =

\$980.00

Processing fee of **\$130.00** for furnishing the English translation later than ☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492 (f)).

\$0.00

TOTAL NATIONAL FEE =

\$980.00

Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31) **(check if applicable)**.

\$0.00

TOTAL FEES ENCLOSED =

\$980.00

Amount to be:
refunded

\$

charged

\$

- a. ☒ A check in the amount of \$980.00 to cover the above fees is enclosed.
- b. ☐ Please charge my Deposit Account No. _____ in the amount of _____ to cover the above fees.
A duplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 15-0030 A duplicate copy of this sheet is enclosed.
- d. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

NOTE: Where an appropriate time limit under 37 CFR 1.494 or 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) must be filed and granted to restore the application to pending status.

SEND ALL CORRESPONDENCE TO:

Surinder Sachar
Registration No. 34,423



22850

SIGNATURE

Norman F. Oblon

NAME _____

24,618

REGISTRATION NUMBER

DATE _____

220681US-0PCT

IN THE UNITED STATES PATENT & TRADEMARK OFFICE

IN RE APPLICATION OF :
PATRICK CHATON ET AL : ATTN: APPLICATION DIVISION
SERIAL NO: NEW U.S. PCT APPLN :
(Based on PCT/FR00/02703)
FILED: HEREWITH :
FOR: METHOD AND DEVICE FOR
DETECTING A MOLECULAR
RECOGNITION REACTION

PRELIMINARY AMENDMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Prior to examination on the merits, please amend the above-identified application as follows:

IN THE CLAIMS

Please cancel Claims 1-14.

Please add the following new claims:

15. (New) Detection method of a molecular recognition reaction between a first molecule fixed on a support and a second molecule present in a solution to be tested, without labelling, in which the detection is made by a photothermal method.

16. (New) Detection method, without labelling, of a molecular recognition reaction according to Claim 15, which is a hybridization reaction of nucleic acids consisting of the following steps:

- fixation of the first nucleic acid molecule on a solid support,
- contacting of the first nucleic acid molecule fixed on the solid support with a solution to be tested suspected of containing the second nucleic acid molecule, this latter being capable of being hybridized with said first molecule, the contacting being carried out under conditions favourable for said hybridization,
- washing of the solid support to isolate a detection sample formed from said first molecule fixed on the support and possibly said second molecule hybridized on said first molecule, and
- measuring the absorption of the sample by a photothermal method.

17. (New) Method according to Claim 15 in which the photothermal method is a thermal lens method.

18. (New) Method according to Claim 16 in which the photothermal method is a thermal lens method.

19. (New) Method according to Claim 15 in which the photothermal method is a method of photothermal deflection in which the sample is illuminated by a pump beam and the absorption of the pump beam by a sample is detected by the refraction or the reflection of a probe beam.

20. (New) Method according to Claim 16 in which the photothermal method is a method of photothermal deflection in which the sample is illuminated by a pump beam and the absorption of the pump beam by a sample is detected by the refraction or the reflection of a probe beam.

21. (New) Method according to Claim 19 in which the probe and pump beams cross each other.

22. (New) Method according to Claim 20 in which the probe and pump beams cross each other.

23. (New) Method according to Claim 19 in which the probe and pump beams are in a transverse configuration or in an approximately collinear configuration.

24. (New) Method according to Claim 20 in which the probe and pump beams are in a transverse configuration or in an approximately collinear configuration.

25. (New) Method according to Claim 19 in which the pump beam is chosen from a pulsed laser, a continuous intensity modulated laser or polychromatic light.

26. (New) Method according to Claim 19 in which the refraction or the reflection of the probe beam is detected by means of multielement photodiode or by means of a simple photodiode receiving only part of the probe beam.

27. (New) Method according to Claim 25 in which the refraction or the reflection of the probe beam is detected by means of multielement photodiode or by means of a simple photodiode receiving only part of the probe beam.

28. (New) Method according to Claim 19 in which the pump beam is a beam from a laser chosen from a continuous argon laser at 275 nm, a quadrupled YAG laser with a wavelength of 266 nm or a polychromatic light.

29. (New) Method according to Claim 19 in which the probe beam has a wavelength that is not absorbed by the substrate nor the present molecules.

30. (New) Method according to Claim 17 in which an incident beam is used, said beam being a beam from a laser chosen from a continuous argon laser at 275 nm, a quadrupled YAG laser with a wavelength of 266 nm or polychromatic light.

31. (New) Method according to Claim 16 comprising in addition a step for comparing the measurement of absorption of the sample with that of a control sample.

32. (New) Use of a method according to Claim 15 for a test, a diagnosis or a detection of hybridization of nucleic acids.

33. (New) Use of a method according to Claim 16 for a test, a diagnosis or a detection of hybridization of nucleic acids.

34. (New) Method according to Claim 20 in which the pump beam is chosen from a pulsed laser, a continuous intensity modulated laser or polychromatic light.

35. (New) Method according to Claim 20 in which the refraction or the reflection of the probe beam is detected by means of multielement photodiode or by means of a simple photodiode receiving only part of the probe beam.

36. (New) Method according to Claim 34 in which the pump beam is chosen from a pulsed laser, a continuous intensity modulated laser or polychromatic light.

37. (New) Method according to Claim 20 in which the pump beam is a beam from a laser chosen from a continuous argon laser at 275 nm, a quadrupled YAG laser with a wavelength of 266 nm or a polychromatic light.

38. (New) Method according to Claim 20 in which the probe beam has a wavelength that is not absorbed by the substrate nor the present molecules.

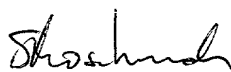
39. (New) Use of a method according to Claim 25 for a test, a diagnosis or a detection of hybridization of nucleic acids.

REMARKS

Claims 15-39 are active in the present application. Claims 15-39 are new claims. Claims 1-14 having been cancelled. Support for the new claims is found in the original claims and throughout the specification. No new matter is believed to have been added by this amendment. An action on the merits and allowance of claims is solicited.

Respectfully submitted,

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Marked-Up Copy

Serial No:

Amendment Filed on:

3-27-2002

IN THE CLAIMS

Claims 1-14 (Cancelled).

Claims 15-39 (New).

3/10/10

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METHOD AND DEVICE FOR DETECTING A MOLECULAR RECOGNITION
REACTION

Technical field of the invention

The present invention relates to a method for detecting a molecular recognition reaction without any labelling, and to a device for implementing this method.

5 This invention relates to the general field of detection and analysis of a molecular recognition between a first and second molecule, for example in molecular biology.

10 According to the invention, molecular recognition may be defined as a specific interaction between more or less complex molecules, leading to a sufficiently stable bond of both molecules so that the molecules may be detected as bound molecules. For example, this may be a hybridization of nucleic acids (DNA and/or RNA),
15 with a recognition reaction of the type antigen/antibody, an interaction of the protein/protein type, an interaction of the enzyme/substrate type, etc... The molecules relevant to the present invention are therefore those which are involved in the
20 aforementioned interactions.

With the method of the present invention, this type of molecular recognition wherein one of the two molecules is fixed on a solid support, may be detected by detecting a change in absorption by a photothermal
25 method.

This method notably finds application in the detection of the hybridization of nucleic acids on a solid support, in an aqueous medium or in air, for example within the framework of a screening or of a

detection of hybridization on a biochip.

State of the art

For example, detection of nucleic acid
5 hybridization is generally performed by means of
labelling with a fluorescent molecule. However, this
type of detection requires the use of several chemical
reagents, and leads to a high cost and a long
10 processing period. Further, techniques which utilize
fluorescence are not always very accurate. Finally, at
least one molecule is modified by the labelling, this
may affect the molecular recognition reaction.

Also, other methods have been suggested, for
example optical methods consisting in detecting
15 thickness or index changes in a sample, for example by
ellipsometry, photogoniometry or by resonance methods.

However, in the case of oligonucleotides on a
solid support, for example, the formed layers are
ultrafine, from a few angströms to a few nanometers
20 thick, so that the detection of thickness or index
changes requires extremely sensitive and expensive
laboratory apparatuses.

Discussion of the invention

25 Specifically, the object of the present invention
is to provide a new detection technique without any
labelling, for a molecular recognition reaction between
a first molecule, a so-called capture molecule, fixed
on a solid support, and a second molecule, a so-called
30 target molecule, present in a solution to be tested.

The method of the invention is characterized in
that the detection is performed by a photothermal
method.

According to the invention, the recognition reaction as well as the first and second molecules may be the aforementioned ones.

When the first and the second molecules are
5 nucleic acid molecules, the method of the invention, for example, may comprise the following steps:

- fixation of the first nucleic acid molecule on a solid support,

- contacting of the first molecule of nucleic acid
10 fixed on the solid support with a solution to be tested, suspected of comprising the second nucleic acid molecule, the latter being capable of hybridizing to said first molecule, the contacting being carried out under conditions favourable to said hybridization,

- 15 - washing of the solid support in order to isolate a detection sample consisting of said first molecule fixed on the support and optionally of said second molecule hybridized on the first molecule, and

- 20 - measurement of the absorption of the sample by a photothermal method.

In addition, the method of the present invention may comprise a step for comparing the measurement of the absorption of the predefined measurement sample, with that of a control sample, a so-called calibration
25 sample, the absorption of which is a known measure.

The first molecule, fixed on the support, may also be called a capture molecule and the second molecule, present in the solution to be tested, may also be called a target molecule. It may also be called a
30 "probe" when this is a DNA molecule fixed on the support.

It is important to note that thin nucleic acid layers whether hybridized or not, are usually

considered as non-absorbing. This is notably described in "Ellipsometric and interferometric characterization of DNA probes immobilized on a combinational assay", Gray et al., Langmuir 1997, 13, 2833-2842.

5 In spite of this, the present inventors were interested in photothermal methods applied to the detection and analysis of a molecular recognition reaction. All these methods have in common, the excitation of the sample, the absorption of which
10 should be measured by a light source, called the pump beam, generally a laser. A portion of the incident light energy is absorbed by the sample. The proportion of absorbed energy is set by the absorption spectrum of the sample and the emission spectrum of the excitation
15 source. A portion of the absorbed energy is transformed into heat. The remainder may be radiated or give rise to fluorescence or to a chemical reaction, for example. The heat induces a change in temperature in the absorbing medium and in the adjacent media, the change
20 in temperature may also be expressed by a change in density and therefore in index, or by a change in pressure, or by the occurrence of an acoustical wave. The rise in temperature due to absorption is generally inhomogeneous, and therefore gives rise to an index
25 gradient of the analyzed medium and of the adjacent media, whereby the density of the media is made inhomogeneous by the rise in temperature.

Photothermal methods consist in measuring the effects induced by the absorption.

30 The inventors have demonstrated that among the photothermal methods, the photothermal deflection method and the thermal lens method may for example be used according to the present invention.

The photothermal deflection method is a method which consists in measuring the deviation of a light beam, called the probe beam, passing in the area where the index gradient is to be found. In other words, it consists in measuring the deviation of the probe beam due to the heating of an absorbing sample via the pump beam. This photothermal deflection technique has been applied to surface analysis such as absorption mapping, thermal parameter imaging, but never for detecting molecular recognition as defined above.

The thermal lens method as to itself consists in measuring the change in the focussing of a light beam passing in the area where the index gradient is to be found.

A full presentation of photothermal methods may be found for example in the textbook "Photothermal Spectroscopy Methods for Chemical Analysis, S.E. Bialkowski, vol. 134 in Chemical Analysis: a Series of Monographs on Analytical Chemistry and its Applications, Wiley".

According to the method of the invention, the solid support may be defined as a support on which the first molecule may be chemically fixed directly or indirectly. Preferably, the support has low absorption as compared to that of the sample placed at its surface so as not to interfere with photothermal detection. Moreover, it preferably has low thermal conductivity since a too large conductivity of the support induces wide distribution of the heat, therefore a lowering of the temperature gradient and a lowering of the detected signal.

According to the invention, this support may for example be a support which is used in the manufacturing

of DNA chips, for example a silica, glass or plastic support. It is also possible to work with semiconductors, by coating their surface with dielectric layers in order to improve the signal to noise ratio.

According to the invention, the first so-called capture molecule is fixed on the support. This fixation of the first molecule on the support may be achieved by conventional chemical reactions well known to one skilled in the art, and selected according to the support, the molecule to be fixed and the bond's strength properties which are desired for the targeted application.

This fixation may be direct or indirect.

Documents 1 to 10 mentioned below are detailed in the references at the end of this specification.

For example, according to the invention, when the support is a silica support, a functionalization treatment of the silica support may be performed before fixing the first molecule, so as to modify the surface of the support in order to fix reactive chemical groups thereon, which will allow the first molecule to be fixed. Such a treatment is described in Example 1 below, but a great number of other examples will be found in the specialized literature, such as Pease et al. (Document 6), Guo et al. (Document 4), Maskos et al. (Document 6) etc. As stated earlier, silica is not the only possible support and each support will undergo the surface treatment which is suitable for it. To illustrate this on a polypropylene film, for example, reference will be made to Matson et al. (Document 7).

The reactive chemical groups are generally amine groups such as those mentioned in Guo et al. (Document

4) or carboxylic groups such as those mentioned in Kohsaka et al. (Document 5), or epoxy groups such as those mentioned in Maskos et al. (Document 6), for which commercial coupling agents exist, which are efficient and easy to use (see the Pierce catalog, for example).

Covalent coupling is not the only way for fixing the first molecule on its support. Passive adsorption widely used for fixing antibodies on polystyrene reaction wells or on microbeads such as described in Elaïssari et al. (Document 3), is often very effective, also see Ballardur et al. (Document 1). More recent fixation techniques may also be contemplated, such as the formation of a Langmuir-Blodgett film in which are included lipid chains onto which the first molecule has been coupled chemically, see Noy et al. (Document 8); and Cornell et al. (Document 2), or further the metal-chelate method, see Porath et al., 1975 (Document 10).

According to the invention, when the first molecule is fixed on the support, the following steps may be the aforementioned contacting step and washing step.

According to the invention, the contacting step is of course, performed under conditions which are favorable to said hybridization, i.e., and obviously for one skilled in the art, at a suitable pH, at a suitable temperature and in a suitable solution for enabling hybridization of nucleic acids. This solution is the solution to be tested.

According to the invention, the step for washing the support notably has the purpose of removing the molecules in the solution which have not reacted with the recognition molecules on the support, i.e. in the

above example, the nucleic acid molecules of the solution which are not hybridized to the nucleic acid molecules fixed on the support. A strong background noise level which would be generated by said molecules
5 non-hybridized and not bound to the support may thus be avoided during the measurement.

Of course, the washing of the support on which are fixed the molecules, should be a mild wash which does not denaturate the nucleic acids involved in the
10 hybridizations, does not destroy said formed hybridizations and does not release the first molecules fixed on the support. A washing example is given in the example below. With this washing step, it is possible to obtain the measurement sample used for measuring the
15 absorption by the selected photothermal method.

According to the method of the invention, when the selected photothermal method is a photothermal deflection method, the sample comprising nucleic acids for example, is illuminated with a light beam called a
20 pump beam and the absorption of the pump beam by the sample is detected by refraction or reflection of a probe beam.

The pump beam may for example be a pulsed laser or an intensity-modulated continuous laser. Preferably, it
25 has an emission wavelength in the absorption range of the sample, for example in that of nucleic acids when the purpose is to detect hybridization of nucleic acids.

According to the invention, the probe beam
30 preferably has a wavelength which is neither absorbed by the present substrate nor by the present molecules.

According to the invention, the pump beam may be a beam from a laser selected from a 275 nm continuous

argon laser or a quadruple YAG laser with a wavelength of 266 nm. The pump beam may also be provided by a polychromatic source, for example, a mercury vapor lamp, if the emission spectrum of the source and its
5 intensity provides sufficient signal for the detection.

The probe beam is directed to near the sample portion illuminated by the pump beam. Moreover, the probe beam may be identical with, or different from the pump beam. The probe beam is preferably a laser beam,
10 for example one from a 633 nm helium laser.

The relative position of the probe and pump beams define the configuration used. For example, the probe beam may cross one or more of the following media: the sample, for example the oligonucleotides, the solid
15 support or the surrounding medium, for example a liquid or air.

The orientation of the probe beam with respect to the pump beam, may be selected at will, for example depending on the mechanical congestion and/or for
20 optimizing the sensitivity by seeking maximum absorption according to the incidence angle.

According to the invention, the probe and pump beams may cross each other.

According to the invention, the probe and pump
25 beams may be positioned in a transverse configuration or in a substantially colinear configuration. In the transverse configuration, the probe and pump beams cross each other, and are perpendicular to each other. This configuration is schematically illustrated in the
30 appended figure 1a. In the substantially colinear configuration, the pump and probe beams cross each other, but are nearly colinear. The appended figure 1b is a schematic illustration of the colinear

configuration.

In these figures, reference 1 shows the pump beam, reference 3 the probe beam in the transverse configuration, reference 5 the probe beam in the substantially colinear configuration and reference 7 the measurement sample positioned on the solid support.

Reflection or refraction of the probe beam may be detected by means of a multicomponent photodiode, for example by a detector with two or four quadrants, by an array or a matrix, or by means of a single photodiode, either partly covered by a cover or knife, or only receiving a portion of the probe beam.

In the case of a single photodiode, another detector may be required in order to dissociate the changes in absorption of the sample, from the possible power changes of the pump beam.

Figure 2 is a schematic illustration of various configurations for detecting the deviation of the probe beam. In this figure, -A- schematically illustrates a bi-quadrant detector 9 and a spot 11 formed by the probe beam on the detector, -B- illustrates a four-quadrant detector, -C- illustrates a matrix detector, -D- illustrates a single photodiode partly covered with a cover 13, and -E- illustrates an off-axis photodetector.

In order to achieve sufficient sensitivity, deflection of the probe beam induced by the absorption of a portion of the pump beam by the sample, for example by oligonucleotides, is preferably distinguished from the parasitic changes due to the environment, such as temperature changes in the laboratory. For this, the pump beam may be marked in time either by modulation if it is a continuous beam,

or by its pulsed operation. By controlling the modulation frequency and the possibility of obtaining a reference signal, the deflection due to the heating generated by partial absorption of the pump beam may be
5 detected preferentially.

Whatever the configuration of the beams used, the obtained information is local and relates to an area around the pump beam's impact point on the sample, comprising nucleic acids for example.

10 The size of this area may be set by experimental parameters such as the size of the pump beam at the impact point on the sample, or its modulation frequency, and by the thermal behavior of the support, of the sample and of the ambient medium. This is
15 notably due to heat diffusion in these various media.

As the information is local, the detection unit may be coupled with a system for displacing the solid support relatively to the pump beam. The whole then allows the deviation values of the probe beam to be
20 compared from one point to another of the sample, in particular the signal may be illustrated as a mapping.

A point of the sample may for example, be understood as a molecular recognition area, i.e. a portion of the support which has, at its surface,
25 molecules having a recognition property for a given type of target molecules. The solid support is therefore associated with a sample, itself consisting of several recognition points or areas. The supports are then functionalized supports.

30 In the case of nucleic acids, each of these recognition areas is associated with only one type of capture molecule which is preferably, but not in a limiting way, different from the other recognition

areas which form the same sample. Thus, it is possible either to increase the size of each recognition area, or to have several recognition areas associated with the same kind of capture molecule, and this with the purpose of increasing the signal to be detected.

When the signal is illustrated as a mapping, one then has the measurement of several recognition areas which correspond to several target molecules, either hybridized or not, on the captured molecules.

Further, one or several of these recognition areas may be assigned to one or several calibration samples as mentioned earlier.

When this is required, the deviation signal may be converted into an absorption value, for example via a reference sample or a calibration sample. This reference sample, the absorption of which is known and stable, may be the subject of a photothermal deflection measurement under the same experimental conditions as for the sample. With the obtained value, it is for example, possible to calculate a coefficient for converting the measured electrical signal into an absorption level.

Finally, when the photothermal method is a thermal lens method, an incident beam is used, which may be a laser beam selected from a 275 nm continuous argon laser, or a quadruple YAG laser with a wavelength of 266 nm.

The thermal lens method is of interest because the assembly is simpler.

Indeed, in this case, there is only one laser which acts as a probe laser and pump laser. After interaction with the sample, the focussing point is changed. The measurement merely consists in measuring

the change in light flux at the initial point. The pump beam may be used either in transmission, i.e. through the sample, or in reflection.

5 The originality of the invention is therefore notably based on the fact that a photothermal technique has never been used for detecting molecular recognition for example of an oligonucleotide hybridization on a solid support. More generally, no method based on the measurement of a change in absorption has been used for
10 this type of detection on a support.

The method of the present invention notably has the advantage of not requiring any labelling step or label. For example, it may advantageously be used for a diagnosis test by detecting hybridization of nucleic
15 acids. This use will be illustrated in the application examples below.

Further, very small absorptions and changes in absorption, for example a few tenths of parts per million, may be detected.

20 The present invention also relates to a device for implementing the method of the invention, said device comprising the following components:

- means for positioning the solid support,
- means for illuminating said support,
- 25 - means for detecting the absorption of light by the sample borne by the support, when it is illuminated by said illuminating means, and
- means for positioning said illuminating means and said detecting means.

30 According to the invention, the means for positioning the support may be any means known for accurately displacing said support, for example micrometric translation and rotation stages, for

example of the MicroContrôle trade mark. These means may be motorized so as to notably provide automatization for a mapping.

According to the invention, the means for
5 illuminating the sample and detecting the light absorption by the sample may notably be selected according to the photothermal method used, to the support and to the molecular recognition to be detected. The means for illuminating the sample may be
10 a pump beam, for example, as defined earlier.

When a photothermal deflection method is involved, the means for detecting the absorption may comprise a probe beam and means for detecting refraction or reflection of a probe beam. These means are described
15 below and in the following examples. When a thermal lens method is involved, the detecting means may comprise a probe beam and a diaphragm placed at the focussing point.

According to the invention, the means for
20 positioning the aforementioned illuminating and detecting means may be means such as those mentioned earlier for positioning the support.

Other components of the invention and advantages will further become apparent upon reading the
25 description and the examples which follow with reference to the appended drawings, of course, given as illustrative and non-limiting.

Short description of the Appendix and figures

30 The list of sequences illustrating example 4 is given in the appendix.

- Figure 1 is a schematic illustration of the transverse (figure 1a) and colinear (figure 1b)

configurations of the pump and probe beams in a detection of photothermal deflection according to the method of the invention;

5 - figure 2 is a schematic illustration of different configurations for detecting the deviation of the probe beam;

10 - figure 3 is a schematic illustration of a measurement of absorption of a sample by the photothermal deflection method according to the invention;

- figure 4 is a diagram illustrating a device for implementing the method of the present invention;

15 - figure 5 is a graph illustrating results for the measurement of absorption of a sample consisting of nucleic acids, according to the method of the present invention;

- figure 6 is a mapping of a detection according to the method of the present invention, performed on a sample including two rows of oligonucleotide pads;

20 - figures 7a and 7b are histograms which represent the number of pixels of the mapping of figure 6.

Examples

25 Example 1: Measurement method

The system used according to the invention is based on the photothermal deflection in a transverse configuration.

30 The appended figure 3 is a schematic illustration of the principle of a measurement of the absorption of a sample by the photothermal deflection method according to the invention.

In this figure, the pump beam 15 stems from a

275 nm continuous argon laser (COHERENT of the INOVA 40 (trade name) type), it is focussed on sample 7 by means of a spherical mirror (not shown), the spot diameter (not shown) is about 70 microns at the surface of sample 7. The wavelength of the pump beam is selected in order to provide detection of the hybridization of nucleic acids. The power of the pump beam is 300 mW at the laser output.

The probe beam 17 is a beam from a 633 nm helium-neon laser. The wavelength of this probe beam is indifferent. According to the invention, a better signal to noise ratio may be obtained with a wavelength far from that of the pump beam.

Detection of deflection is performed by means of a four quadrant detector -B- (see figure 2) followed by amplification and subtraction electronics (not shown). Reference 17a shows the probe beam deviated by photothermal deflection. The θ angle shows the pump beam's incidence angle with respect to the normal to the sample (shown in mixed lines).

An interference filter (not shown) which selects the wavelength of the probe beam may be placed in front of the four quadrant detector in order to avoid the influence of parasitic light from the modulated pump beam.

In the device of the present invention, the probe laser, the four quadrant detector and the associated electronics may form an integral part of a commercial measurement cell, such as one from ALIS. The signal from this cell is sent to a synchronous detection stage.

The pump beam may be modulated by means of a mechanical slotted disc, also called a mechanical

chopper hereafter, the frequency of which is adjustable. The control signal of the chopper is used as a reference for synchronous detection. The frequency is 157 Hz. The measured signal is obtained at the
5 output of the synchronous detection stage (amplitude of the deviation signal at the pump beam's modulation frequency).

The positioning of the sample and of both beams relatively to each other, is provided by translation and rotation stages (Micro-Contrôle trade name), of
10 which some are motorized in order to provide automatization for a mapping, for example of a biochip and in certain adjustment phases. The adjustments are automatically performed in order to maximize the
15 deflection signal in a plane orthogonal to the sample containing the probe beam. During mappings, if required, corrective displacement is performed in a direction orthogonal to the scanning axes for the mapping in order to guarantee that correct relative
20 positioning is maintained during the mapping. This corrective displacement is automatically determined in a preliminary measurement step. The incidence angle of the pump beam with respect to the normal to the sample and the orientation of the cell with respect to the
25 sample may be adjustable. The relative position and orientations of the probe and pump beams may also be adjustable independently.

A diagram of a device according to the invention is illustrated on the appended figure 4. In this
30 figure, a shutter, not shown in the diagram, enables the pump beam to be cut off during the displacement phases and to be restored during a well determined time interval after a selected waiting period for allowing

the assembly to stabilize after displacement. Reference 19 shows a 275 nm argon laser, reference 21 shows mirrors for positioning the laser beam, reference 23 shows a mechanical chopper, reference 25 the laser beam after its passing through the chopper, reference 27 a focussing mirror and reference 31 the measurement sample.

Reference 34 forms the measurement cell in which are integrated the probe laser 32 and the four quadrant diode 33.

Repeatability of the positioning of the sample may be provided by an autocollimating telescope for example, which is not shown in the diagram. The whole measuring device may be driven by a workstation which controls the displacements and acquires deviation signals in two orthogonal directions.

In this embodiment, the deviation is measured along a direction parallel to the plane of the sample and along a direction orthogonal to the latter. The latter measurement is what forms the useful signal. The electronic signal provided by the synchronous detection stage may be used as such by comparison from one point to another of the sample.

It may also be converted into an absorption value by performing a reference measurement on a sample supposed to be stable over time and under a laser flux, the absorption of which is measurable on the spectrophotometer and is located in the linearity range of the photothermal deflection measurement bench.

30

Example 2: Preparation of functionalized supports

The molecules chosen in this example are nucleic acids, in particular oligonucleotides.

The production of thin biological layers to form the measuring sample according to the method of the present invention can for example include two steps:

- 1) Functionalization of the substrate, and
- 2) Grafting of the oligonucleotides.

10 Functionalization of the substrate

The support chosen is silica. Since the oligonucleotides are not able to establish covalent bonding with this type of material, the latter is functionalized. Functionalization of the support has the goal of modifying the surface of the substrate in order to introduce reactive groups into it that will enable grafting of the oligonucleotides.

This step requires, for example, a first treatment of the support for example by a sulphochromic mixture than silanization. The treatment with the sulphochromic mixture may be carried out by means of a saturated solution of chromium oxide in 95% sulphuric acid. Its objective is to remove organic contaminants present on the support and to create silanol groups. The silanization is then carried out by standard silanization techniques, for example, by incubation of the support in a toluene solution, 1% aminopropyldimethylethoxysilane (AMPMES). During this step, the silanes react with the silanol groups created during the treatment with sulphochromic mixture. The formation of a layer of silane carrying amine groups results from this, on which it is possible to graft oligonucleotides.

Grafting of the oligonucleotides

The oligonucleotides that form the capture molecules are grafted on the support either directly or through an avidin molecule.

- 5 In this latter case, a molecule of avidin is previously grafted on the silane through a coupling agent, phenylene diisothiocyanate (PDC). Avidin presents a strong affinity for a small molecule: biotin. Therefore, it can recognize and very strongly
- 10 fix an oligonucleotide carrier of a biotin. Therefore, the support is incubated in a solution of 10% dimethylformamide/pyridine 0.2% PDC. After washing and drying the support, avidin is deposited in the form of drops several millimeters in diameter on the support.
- 15 The carrier oligonucleotide of the biotin is deposited in the same place.

Example 3: Use of functionalized supports

This step consists of carrying out hybridization

20 of nucleic acids or fragments of them, which form the target molecules present in the solution to be tested. It consists essentially of two steps:

- 1) Hybridization of the nucleic acids (in the experiment described, a complementary oligonucleotide),
- 25 and

- 2) Washing non hybridized nucleic acids.
- Of course, prior to these steps and in the specific case of nucleic acids, it may be required to carry out other preparatory steps to obtain the solution to be
- 30 tested. These steps may be steps of extraction, amplification and cleavage.

Extraction

This extraction is carried out in an entirely standard manner. In fact, any DNA extraction technique may be used, making it possible to obtain material
5 capable of being amplified later by an amplification procedure. These cell lysis techniques, with extraction, then purification of nucleic acids are usually those recommended for genetic analyses, or rapid techniques using commercial products such as
10 QIAmp Blood Kit (Registered trademark) from QIAGEN S.A.

Amplification

Thus, it may be wise to increase the number of target molecules by amplifying the signal. Very
15 numerous amplification techniques exist. The state of the art describes methods enabling amplification of the nucleotide sequences using specific primers of sequences to be amplified. Thus, a fragment of nucleic acid of interest may be amplified within a preparation
20 of nucleic acids. Numerous techniques use oligonucleotides complementary to the target sequence acting as primers for elongation by a polymerase.

For amplification of DNA, there is PCR (Polymerase Chain Reaction) such as described in the patents
25 US-A-4,683,195, US-A-4,683,202 and US-A-4,800,159, LCR (Ligase Chain Reaction) explained for example in the patent application EP-A-0.201.184 or the RCR (Repair Chain Reaction) described in the patent application WO-A-90/01069.

30 For amplification of RNA, several techniques have also been described in different documents. These techniques are the following:

- 3SR (Self Sustained Sequence Replication) with the patent application WO-A-90/06995,

- NASBA (Nucleic Acid Sequence-Based Amplification) with the patent application
5 WO-A-91/02818,

- SPSR (Single Primer Sequence Replication) with the patent US-A-5,194,370, and

- TMA (Transcription Mediated Amplification) with the patent US-A-5,399,491.

10

Cleavage

Cleavage may also be wise because the product of the amplifications may be formed of nucleic acids that are large in size. Thus, when such target nucleic acids
15 are hybridized on capture molecules, the duplexes formed after hybridization with the target nucleic acids are not very stable. This is also the case when the polynucleotides are used as detection probes. The reasons may be due to the steric inhibition or to the
20 lack of specificity between the capture molecule that is not necessarily of the same size. Therefore, there is going to be a quantitative and qualitative loss of the signal.

The steric inhibition may be, not only because of
25 the length of the nucleic acid, but also the existence or retention of the secondary structures. Cleavage enables these structures to be destroyed and thus optimizes hybridization. This steric inhibition plays a particularly important role in the case of
30 hybridization on the surfaces containing capture probes in high density, for example the DNA chips developed by the Affymetrix company ("Accessing Genetic Information with High-Density DNA arrays", M. Shee et al., Science,

274, 610-614. "Light-generated oligonucleotide arrays for rapid DNA sequence analysis", A. Caviani Pease et al., Proc. Natl. Sci. USA, 1994, 91, 5022-5026). In this technology, the capture probes are generally of
5 reduced size, around twenty nucleotides.

Where it concerns cleavage of nucleic acids, numerous methods are described in the state of the art. Firstly, cleavage may be enzymatic, that is, the fragmentation of nucleic acids may be carried out by
10 nucleases (DNases or Rnases). Then fragments small in size are created with 3'-OH, 5'-OH, 3'-phosphate, 5'-phosphate ends.

Secondly, cleavage may be chemical. For example, in the case of the DNA, depurination or
15 depyrimidination of the DNA may be carried out, which are then cleaved in the presence of a base by a mechanism called " β -elimination". The cleavage of the DNA may be carried out by mechanisms of oxidation, alkylation, the addition of free radicals, among
20 others. To cleave the RNA, metal cations are used, often combined with organic molecules used as chemical catalysts, for example, imidazole. This cleavage is preferentially carried out in alkaline medium and creates fragments with 3'-phosphate ends.

25

Hybridization

The support on which the oligonucleotides are grafted is then incubated in a solution containing the complementary oligonucleotide for example a solution of
30 6X SSPE + 0.05% Triton X-100 + 20 nM target; 30 min at 35°C.

Washing the nonhybridized nucleic acids

After hybridization, the nucleic acids that are not hybridized are removed by washing in the same solution, 6X SSPE + 0.05% Triton-X.

5 Just before the detection according to the method of the present invention, the samples are taken out of their washing solution, passed rapidly under water to remove the buffer while taking care not to "dehybridize" the nucleic acids, and dried in the air.

Results

Mapping is carried out on the sample including two rows of oligonucleotide pins. Each pin is approximately three millimeters in diameter. The pins of the lower row include 32mer oligonucleotides hybridized by their complementary while those in the upper row include another 32mer oligonucleotide, not complementary. The mapping step is 250 microns.

15 The measurement of time is 5 seconds per point including one second before opening the obturator. The measuring point is therefore illuminated for 4 seconds. The measurements are carried out as rapidly as possible during this time. The time delay aimed at between each measurement is a tenth of a second. Therefore, at each measurement point, a signal is provided depending on the time. The speed of the variation of the signal according to time is represented by the curve in the attached figure 5.

25 In this figure on the ordinate S represents the signal in absorbance unit and on the abscissa, t represents the time in seconds.

30 The signal includes a period of background noise, before opening the obturator followed by a rapid

increase after opening. When a maximum is reached, the measured signal lowers again, with that expressing the change of the sample at the measuring point. To compare the signals obtained at each point of the mapping, it is preferable to extract from each signal a value that could be displayed in mapping form. This value for example may be the measured maximum or the value at a given time measured. It is also possible to adjust a well-chosen function for each temporal signal and choose as representative value one of the parameters of adjustment of the function or the maximum of the latter. After this treatment phase, the result is displayed in mapping form.

Mapping that we have obtained experimentally is given in figure 6 in the annex. It concerns maxima of the signal measured at each point of the mapping, maxima having taken place for t near 1.5 second. On this mapping, the oligonucleotides pins that may or may not be hybridized are perfectly distinguished from the background in which the absorption is very low. The pins of the right column, clear blue in colour on the mapping, have a lower absorption than those of the left column, green to yellow in colour on the mapping; the black rectangles correspond to nonmapped zones, because there had been a manual shift of the support during acquisition of the mapping to better see the pins of the right column. The observation of the mapping is therefore sufficient to determine if an oligonucleotide pin is hybridized or not.

Histograms of figures 7a and 7b in the annex represent the number of pixels of the mapping (ordinate axis) for a given signal intensity (abscissa axis) on two different scales for the ordinates. Three modes are

clearly seen to appear, corresponding to the absorption of the background, nonhybridized oligonucleotides, and hybridized oligonucleotides. These modes are well separated which enables use of image treatment methods enabling automatic recognition of the pins that may or may not be hybridized. A simple thresholding for example, makes it possible to only retain the hybridized pins and some absorbing points easily distinguished from measuring pins.

Example 4: Diagnostic test

An important part of the genetic component of the susceptibility to rheumatoid arthritis was able to be associated with the HLA-DRB genes, coding for the b chain of the HLA-DR molecules involved in the presentation of the peptides to the T lymphocytes, pivotal function at the core of mechanisms of regulation of the immune response. More precisely, it has been shown that the presence of a specific sequence of five amino acids, corresponding to positions 70 to 74 of the third hypervariable region of the HLA-DRb1 molecules, was found for different alleles reported as being associated with rheumatoid arthritis. The involvement of this "shared epitope" corresponding to sequences QKRAA or QRRAA or RRRAA (code with a letter for the amino acids) is from then on well documented.

However, if this group of DRB1*04 alleles is associated with rheumatoid arthritis, all the alleles currently known (DRB1*0401 to DRB1*0427) are not necessarily associated with this disease. A result of this may be that if it is limited to a typing of the group of DRB1*04 alleles according to the alleles present, one could obtain, beside true positives or

true negatives, false positives which are going to unnecessarily alarm the physician and the patient.

In the case of a molecular biology technique (analysis of DRB1 alleles: results rendered according to the DRB1*01 to DRB1*10 nomenclature); the clinician is essentially interested in the presence of the DRB1*04 allele(s).

In case of results suggesting a predisposition to the disease, a second test is generally made using a molecular biology technique known as high resolution, known as subtyping of DR4, to clarify the DRB1*04 allele (DRB1*0401 to 0427 according to the official nomenclature in 1998), only the DRB1*0401, 0404, 0405 and 0408 being reported as being associated with the disease.

Extraction

Extraction is carried out by commercial products mentioned previously, such as QIAmp Blood Kit (registered trademark) of QIAGEN S.A.

Amplification

This amplification concerns the HLA-DR locus including the region of the exon 2 which corresponds to the codons 5 to 94 according to the official nomenclature of the HLA-DRB genes. Table 1 below describes the primers used during amplification by PCR of this previously described locus. It also gives all the physicochemical positions enabling this amplification to be made.

Table 1: Simultaneous amplification of the HLA-DR
region of interest

Primers	<ul style="list-style-type: none"> - P1(5'-DR primer): CCG GAT CCT TCG TGT CCC CAC AGC ACG (5'>3') - P2 (3'-DR primer): TCG CCG CTG CAC TGT GAA G (5'>3')
Reaction mixture	<ul style="list-style-type: none"> - buffer 10X TEMAG (*): 10 µL - dNTPs (20 mM): 1 µL (0.2 mM final) - P1 (30 µM): 0.8 µL (0.25 µM final) - P2 (30 µM): 0.8 µL (0.25 µM final) - AmpliTaq (5 U/µL): 0.5 µL (2.5 U) - DNA : 100-500 ng - H2O : QSP 100 µL
Amplification programme	(5 min at 96°C) + 10 x (10 sec at 98°C + 30 sec at 65°C + 30 sec at 72°C) + 30 x (20 sec at 96°C + 30 sec at 65°C + 30 sec at 72°C)

(*): Buffer 10X TEMAG: 500 mM Tris-HCl pH 8.8, 150 mM ammonium sulphate, 15 mM MgCl₂, 500 µM EDTA, 0.1% gelatine

In this example the distance separating the two sources P1 and P2 is chosen to be sufficiently short so as not to have cleavage carried out. Of course, if the amplicons produced require it, this fragmentation of the nucleic acids may be carried out according to the means and conditions explained above.

Preparation of the functionalized supports

To be able to make a study of genetic predisposition to rheumatoid arthritis, there is reason to use a group of hybridization reactions using a set of oligonucleotide probes enabling the precise analysis of HLA-DRB1 and HLA-B*27 alleles or groups of alleles. Table 2 describes the group of probes that is used for

detection of these two diseases. The instructions that are given from the left to the right are the following:

- the reference of the assigned probe in HLA nomenclature,
- 5 - the assigned number of the sequence in this document,
- the HLA gene concerned,
- the sequence forming this probe, and
- the localization of the codons (three
- 10 nucleotides) on the HLA genes

Table 2: Oligonucleotide probes

Probe	SEQ ID NO	HLA gene	Sequence (5'>3')	Localization (codons)
C+	1	DR	TTC GAC AGC GAC GTG GGG	40-45
C-	2	-	TAT GAA ACT TAT GGG GAT AC	-
4	3	DR	GAT ACT TCT ATC ACC A	29-34
QK71	4	DR	GAG CAG AAI CGG ICC GAG CAG AAG CGG GCC	69-73
IDE71	5	DR	CTG GAA GAC GAI CGG CTG GAA GAC GAG CGG	68-72
E74	6	DR	AGC AIA IGC IGG ICI AII AGC AGA GGC GGG CCG AGG	69-75
QR71	7	DR	CAG AGG CGI GII ICI GTG CAG AGG CGG GCC GCG GTG	70-75
S57	8	DR	GCC TAG CGC CGA GTA	55-60
1	11	DR	TGG CAG CTT AAG TTT GAA	9-14
52	12	DR	TAC TCT ACG TCT GAG T	10-15
2	13	DR	CAG CCT AAG AGG GAG TG	10-15
7+9	14	DR	IAG GTI GAC AIC GTG TGC CAG GTG GAC ACC GTG TGC	74-79
10	15	DR	GGA GGA GGT TAA GTT	8-13
8+12	16	DR	CTC TAC GGG IGA GT CTC TAC GGG TGA GT	10-15
3	19	DR	CCG GGT GGA CAA CIA C CCG GGT GGA CAA CTA C	73-78

For certain probes, a second sequence in italics specifies the natural sequence, that is, only formed from four nucleotides adenosine (A), thymine (T), guanine (G) and cytosine (C). The other sequences have the same nucleotides except for the substitution of certain ones by different nucleotides. In the present case, it is inosine. The use of the inosines enables improvement again of the specificity of the probes with respect to the sequences with which they are going to be hybridized. The specificity of the capture probes is clearly specified in Table 3 below.

Table 3: Principal specificities of the molecules or
capture probes

Probe	SEQ ID NO	Specificity
C+	1	All the DRB1*
C-	2	None
4	3	DRB1*04
QK71	4	DRB1*04 allele possessing the QKRAA unit corresponding to the shared epitope
IDE71	5	DRB1*0402
E74	6	DRB1*0403, 0406 and 0407
QR71	7	DRB1*0101, 0404, 0405, 0408 and 1402 allele possessing the unit QRRAA corresponding to the shared epitope
S57	8	DRB1*0405
1	9	DRB1*01
52	10	DRB1*03,11,13 and 14
2	11	DRB1*02

7+9	12	DRB1*07 and 09
10	13	DRB1*10
3	14	DRB1*03
8+12	15	DRB1*08 and 12

These probes are next set up on the solid support as mentioned previously. Of course, to facilitate the later analysis, it is appropriate to position each type of probe on a point or recognition zone.

In the present case, fifteen different recognition zones are required, by including the controls, whether they are positive or negative. It is also possible to carry out other tests on the same solid support, for that it is sufficient to increase the number of recognition zones.

Table 4: Organization of the different recognition zones on the solid support

Recognition zones		Recognition zones	
C+	SEQ ID NO 1	1	SEQ ID NO 9
C-	SEQ ID NO 2	52	SEQ ID NO 10
4	SEQ ID NO 3	2	SEQ ID NO 11
QK71	SEQ ID NO 4	7	SEQ ID NO 12
IDE71	SEQ ID NO 5	10	SEQ ID NO 13
E74	SEQ ID NO 6	8+12	SEQ ID NO 14
QR71	SEQ ID NO 7	3	SEQ ID NO 15
S57	SEQ ID NO 8		

There is a positive control (SEQ ID NO 1) which enables detection of all the alleles of the DRB1* gene, which makes it possible to check that the amplification has clearly concerned the region of interest between the primers P1 and P2 described in Table 1. The

negative control (SEQ ID NO 2) has no diagnostic objective. It is present only to fulfil certain standards. This sequence is absolutely not specific to HLA and corresponds to a random sequence not found in the HLA genes.

The SEQ ID NO 3 enables the typing of all the alleles that form the DRB1*04 group. It enables the identification of all the DRB1*04 alleles, alleles belonging to the group defined as group DR4 by the HLA typing techniques by serology. It concerns a probe with low resolution, that is, that numerous alleles may be recognized by the latter.

As for the SEQ ID NO 4 to 8, they enable subtyping of some of the alleles which form the DRB1*04 group, by specifying the allele(s) sharing a particular sequence. It concerns probes with high resolution, that is, that some alleles, even only one, may be recognized by these probes.

All these probes are used for detecting the alleles possessing the shared epitope associated with the genetic predisposition to rheumatoid arthritis. More specifically, the probes SEQ ID NO 4 and 7 enable detection of the alleles associated with the susceptibility to rheumatoid arthritis, and the probes SEQ ID NO 5 and 6 enable detection of the alleles associated with the resistance to said rheumatoid arthritis. The probe SEQ ID NO 8 enables confirmation of a DRB1*0405 allele.

30 Results

In the results explained below, we worked with solutions to be tested for which the characteristics were known, in order to be able to check if the results

obtained with the analysis method according to the present invention are clearly in conformance with reality.

5 1ST SOLUTION TO BE TESTED

Table 5 which follows shows the signal values obtained with the first solution to be tested in which we had subtracted the value of the signal of the probe SEQ ID NO 2 (negative control). These values more or less far from the zero value make it possible to deduce whether hybridization has taken place or not. The 0 value has been put for all values not being distinguished significantly from the value obtained for SEQ ID NO 2 (deviation less than 2 times the standard error at the mean calculated for the probe SEQ ID NO2).

Table 5: Hybridization results obtained with the first solution to be tested

Probe associated with the recognition zone	Signal (arbitrary unit)	+/-	Probe associated with the recognition zone	Signal (arbitrary unit)	+/-
SEQ ID NO 1	3000	+	SEQ ID NO 9	0	-
SEQ ID NO 2	0	-	SEQ ID NO 10	0	-
SEQ ID NO 3	920	+	SEQ ID NO 11	2100	+
SEQ ID NO 4	260	+	SEQ ID NO 12	0	-
SEQ ID NO 5	0	-	SEQ ID NO 13	0	-
SEQ ID NO 6	0	-	SEQ ID NO 14	0	-
SEQ ID NO 7	0	-	SEQ ID NO	0	-

			15		
SEQ ID NO 8	0	-			

The analysis of the HLA-DR shows that:

- the SEQ ID NO 1 probe is positive: the HLA-DR amplification test and the hybridization test worked well,

- the probes SEQ ID NO3 and SEQ ID NO 4 are positive: a DRB1*0401 allele is present, and

- the probe SEQ ID NO 11 is positive: a DRB1*02 allele is present.

In conclusion, there an allele of susceptibility for rheumatoid arthritis (DRB1*0401) is present, the second allele being DRB1*02 which was proof of neutrality with respect to rheumatoid arthritis.

The HLA typing of the first sample was HLA-DRB1*0401/1602.

2ND SOLUTION TO BE TESTED

Table 6 which follows, shows the values of OD obtained with the second sample to be tested.

Table 6: Results of hybridization obtained with the second solution to be tested

Probe associated with the recognition zone	Signal (arbitrary unit)	+/-	Probe associated with the recognition zone	Signal (arbitrary unit)	+/-
SEQ ID NO 1	3000	+	SEQ ID NO 9	0	-
SEQ ID NO 2	0	-	SEQ ID NO 10	0	-
SEQ ID NO 3	310	+	SEQ ID NO 11	2700	+
SEQ ID NO 4	0	-	SEQ ID NO	0	-

			12		
SEQ ID NO 5	370	+	SEQ ID NO 13	0	-
SEQ ID NO 6	0	-	SEQ ID NO 14	0	-
SEQ ID NO 7	0	-	SEQ ID NO 15	0	-
SEQ ID NO 8	0	-			

There are four positive probes, which are:

- the SEQ ID NO 1 probe: the HLA-DR amplification and the hybridization test worked well

5 - the probe SEQ ID NO3 : at least a DRB1*0401 allele is present

- the probe SEQ ID NO5 : at least a DRB1*0402 allele is present , and

10 - the probe SEQ ID NO 11: at least a DRB1*02 allele is present

Therefore, it concerns two DR4 alleles not involved in the genetic susceptibility to rheumatoid arthritis, DRB1*0402 and DRB1*02.

15 The HLA typing of this third sample was HLA-DRB1*0402/02.

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ANNEXList of sequences

(1) GENERAL INFORMATION:

5 (i) APPLICANT:

(A) NAME: BIOMERIEUX S.A.

(B) STREET: Chemin de l'Orme

(C) CITY: Marcy-l'Etoile

(E) COUNTRY: France

10 (F) POSTAL CODE: 69280

(G) TELEPHONE: (33) 78.87.20.00

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(ii) TITLE OF THE INVENTION: Method of analysis of
genetic predisposition of a patient to at
15 least one genetic disease

(iii) NUMBER OF SEQUENCES: 19

(iv) FORM DECODABLE BY COMPUTER:

(A) TYPE OF SUPPORT: Floppy disk

(B) COMPUTER: IBM PC compatible

20 (C) OPERATING SYSTEM: PC-DOS/MS-DOS

(D) SOFTWARE: PatentIn Release #1.0, version
#1.30 (OEB)

(2) INFORMATION ON SEQ ID NO 1:

25 (i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 18 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

30 (ix) CHARACTERISTICS:

(D) OTHER INFORMATION: sequence originated
from HLA DR

(iv) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 1

TTCGACAGCGACGTGGGG

10

(3) INFORMATION ON SEQ ID NO 2:

5 (i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 20 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

10 (ix) CHARACTERISTICS:

(A) NAME/KEY: primer

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 2

TATGAAACTT ATGGGGATAC

10

20

15

(4) INFORMATION ON SEQ ID NO 3:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 16 nucleotides

(B) TYPE: nucleic acid

20 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

(D) OTHER INFORMATION: sequence originated

25

from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 3

GATACTTCTA TCACCA

10

30(5) INFORMATION ON SEQ ID NO 4:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 15 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

5 (D) OTHER INFORMATION: sequence originated
from HLA DR

(iv) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 4

GAGCAGAAIC GGICC

10

10

(6) INFORMATION ON SEQ ID NO 5:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 15 nucleotides

(B) TYPE: nucleic acid

15 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

(D) OTHER INFORMATION: sequence originated
20 from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 5

CTGGAAGACG AICGG

10

25(7) INFORMATION ON SEQ ID NO 6:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 18 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

30 (iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY: primer

(D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 6
AGCAIAIGCI GGICIAII

5 10

(8) INFORMATION ON SEQ ID NO 7:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 18 nucleotides

10 (B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

15 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 7
CAGAGGCGIG IIICIGTG

10

20

(9) INFORMATION ON SEQ ID NO 8:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 15 nucleotides

(B) TYPE: nucleic acid

25 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

(D) OTHER INFORMATION: sequence originated
30 from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 8
GCCTAGCGCC GAGTA

10

(12) INFORMATION ON SEQ ID NO 9:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 18 nucleotides

5 (B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

10 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 11

TGGCAGCTTA AGTTTGAA

10

15

(13) INFORMATION ON SEQ ID NO 10:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 16 nucleotides

(B) TYPE: nucleic acid

20 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

25 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 12

TACTCTACGT CTGAGT

10

30(14) INFORMATION ON SEQ ID NO 11:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 17 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

5 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 13

CAGCCTAAGA GGGAGTG

10

10

(15) INFORMATION ON SEQ ID NO 12:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 18 nucleotides

(B) TYPE: nucleic acid

15 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

(D) OTHER INFORMATION: sequence originated
20 from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 14

IAGGTIGACA ICGTGTGC

10

25(16) INFORMATION ON SEQ ID NO 13:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 15 nucleotides

(B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

30 (iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

(D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 15
GGAGGAGGTT AAGTT

5 10

(17) INFORMATION ON SEQ ID NO 14:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 14 nucleotides

10 (B) TYPE: nucleic acid

(ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

15 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 16
CTCTACGGGI GAGT

10

20

(18) INFORMATION ON SEQ ID NO 15:

(i) CHARACTERISTICS OF THE SEQUENCE:

(A) LENGTH: 16 nucleotides

(B) TYPE: nucleic acid

25 (ii) TYPE OF MOLECULE: nucleic acid

(iii) HYPOTHETICAL: no

(ix) CHARACTERISTICS:

(A) NAME/KEY:

30 (D) OTHER INFORMATION: sequence originated
from HLA DR

(xi) DESCRIPTION OF THE SEQUENCE: SEQ ID NO 19
CCGGGTGGAC AACIAC

10

CLAIMS

1. Detection method of a molecular recognition reaction between a first molecule fixed on a support and a second molecule present in a solution to be tested, without labelling, in which the detection is
5 made by a photothermal method.

2. Detection method of a hybridization reaction of nucleic acids between a first and second molecule of nucleic acid, without labelling, consisting of the
10 following steps:

- fixation of the first nucleic acid molecule on a solid support,

- contacting of the first nucleic acid molecule fixed on the solid support with a solution to be tested
15 suspected of containing the second nucleic acid molecule, this latter being capable of being hybridized with said first molecule, the contacting being carried out under conditions favourable for said hybridization,

- washing of the solid support to isolate a
20 detection sample formed from said first molecule fixed on the support and possibly said second molecule hybridized on said first molecule, and

- measuring the absorption of the sample by a photothermal method.

25

3. Method according to Claim 1 or 2 in which the photothermal method is a thermal lens method.

4. Method according to Claim 1 or 2 in which the
30 photothermal method is a method of photothermal deflection in which the sample is illuminated by a pump

"English translation of the amended sheets of
International Preliminary Examination Report"

beam and the absorption of the pump beam by a sample is detected by the refraction or the reflection of a probe beam.

5

5. Method according to Claim 4 in which the probe and pump beams cross each other.

6. Method according to Claim 4 in which the probe
10 and pump beams are in a transverse configuration or in an approximately collinear configuration.

7. Method according to any one of Claims 4 to 6 in
15 which the pump beam is chosen from a pulsed laser, a continuous intensity modulated laser or polychromatic light.

8. Method according to any one of Claims 4 to 7 in
20 which the refraction or the reflection of the probe beam is detected by means of multielement photodiode or by means of a simple photodiode receiving only part of the probe beam.

9. Method according to any one of Claims 4 to 8 in
25 which the pump beam is a beam from a laser chosen from a continuous argon laser at 275 nm, a quadrupled YAG laser with a wavelength of 266 nm or polychromatic light.

10. Method according to anyone of Claims 4 to 9 in
30 which the probe beam has a wavelength that is not absorbed by the substrate nor the present molecules.

"English translation of the amended sheets of
International Preliminary Examination Report"

11. Method according to Claim 3 in which an
incident beam is used, said beam being a beam from a
laser chosen from a continuous argon laser at 275 nm, a
5 quadrupled YAG laser with a wavelength of 266 nm or
polychromatic light.

12. Method according to any one of the preceding
claims comprising in addition a step for comparing of
10 the measurement of absorption of the sample with that
of a control sample.

13. Use of a method according to any one of Claims
1 to 12 for a test, a diagnosis or a detection of
15 hybridization of nucleic acids.

ABSTRACT OF THE DISCLOSUREMETHOD AND DEVICE FOR DETECTING A MOLECULAR RECOGNITION
REACTION

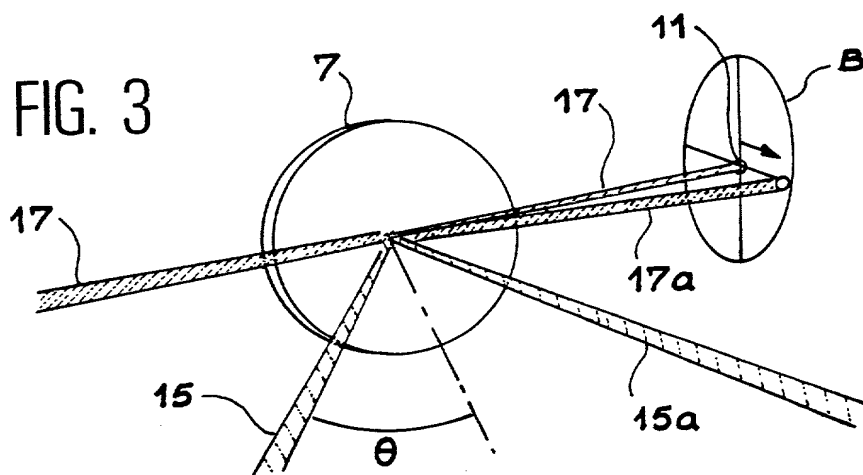
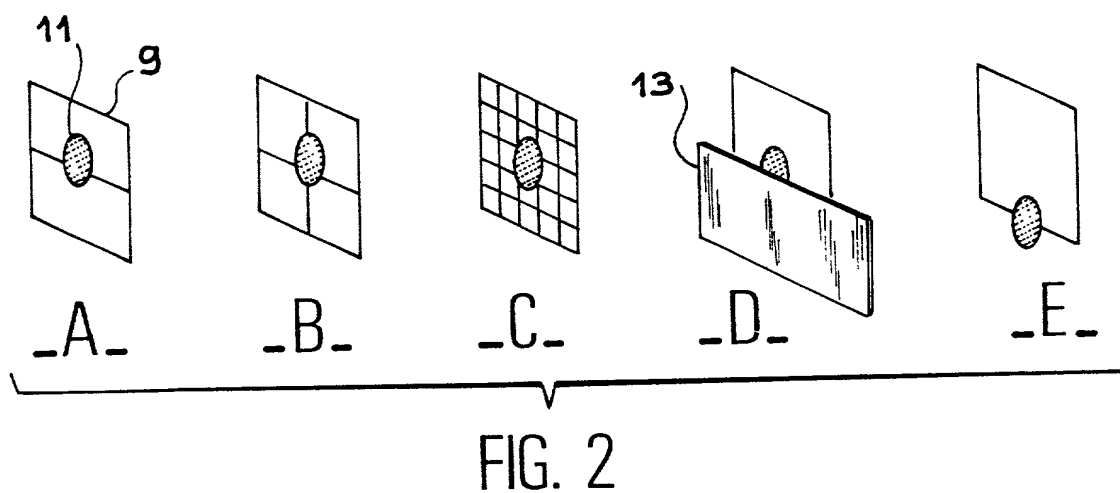
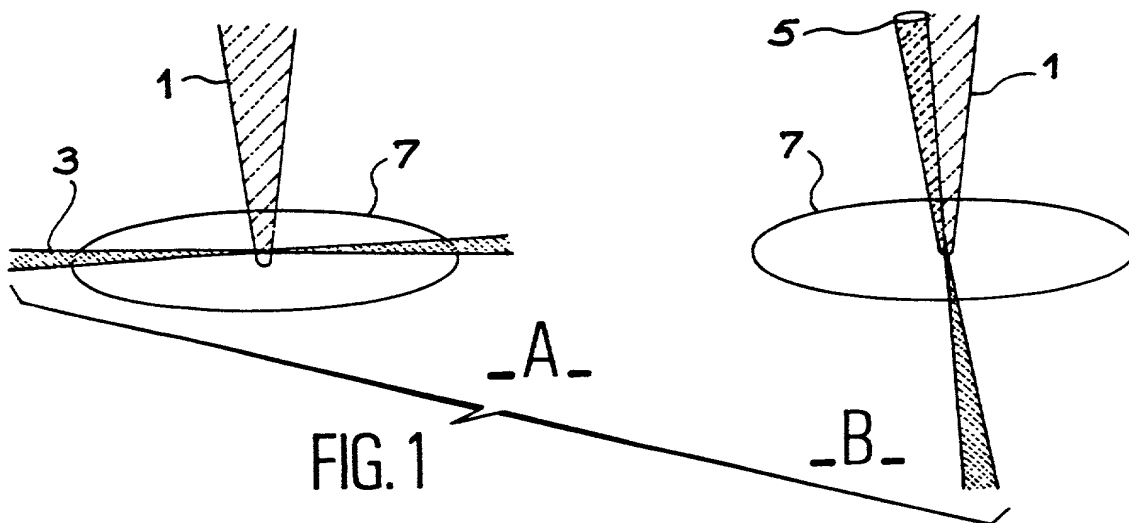
The present invention relates to a method for detection of a molecular recognition reaction without labelling and a device for using this method.

5 This invention concerns the general field of detection and analysis of molecular recognition between a first and a second molecule, for example a biological molecule.

10 The method of the present invention consists of the detection of the molecular recognition by a photothermal method.

Figure 4

1/3



2 / 3

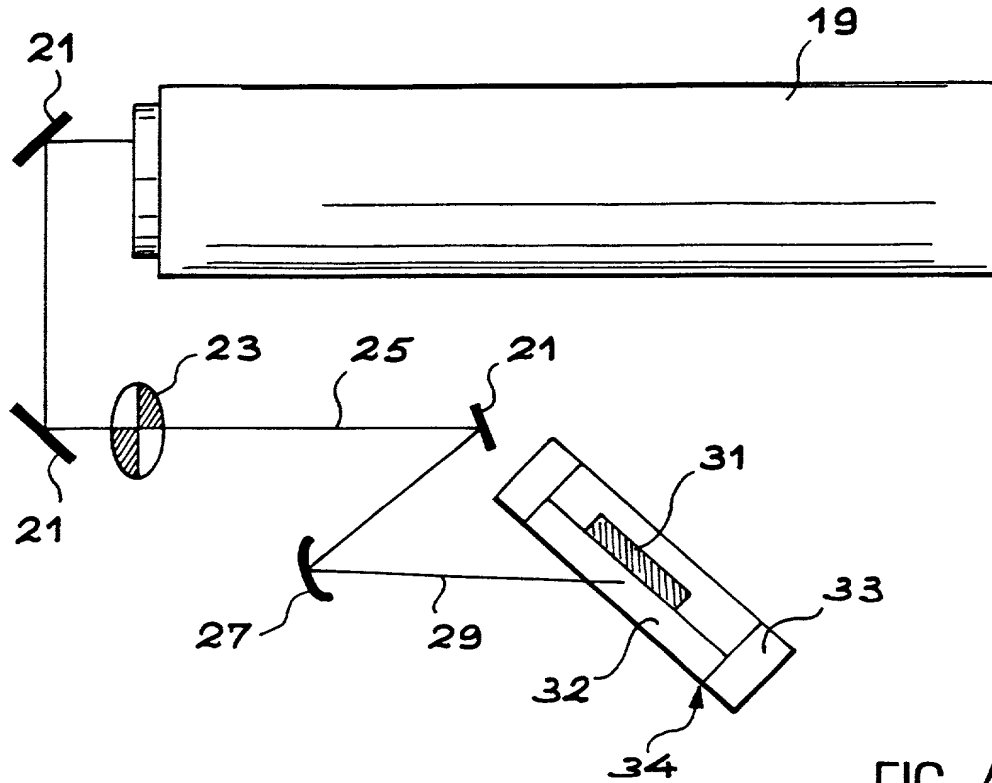


FIG. 4

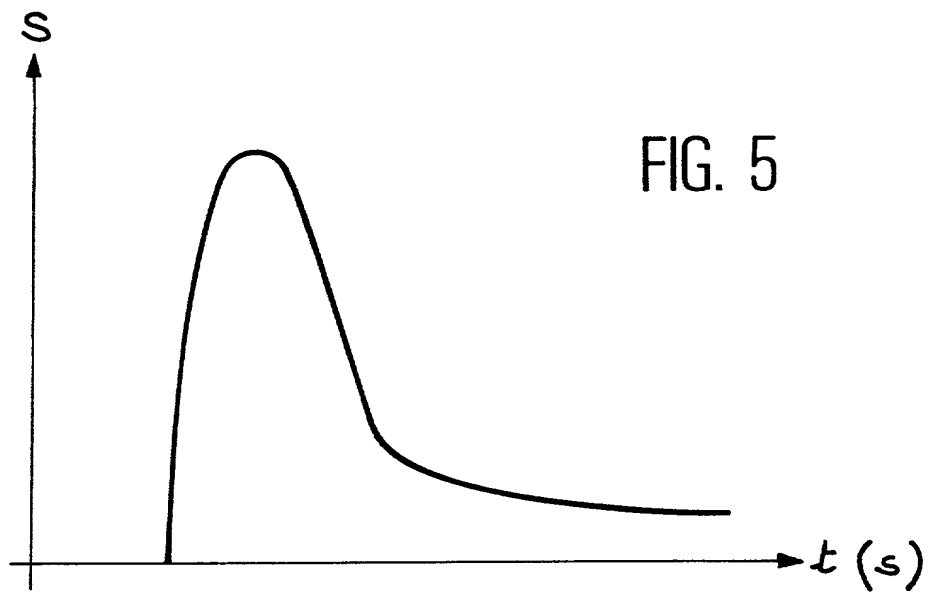


FIG. 5

3 / 3

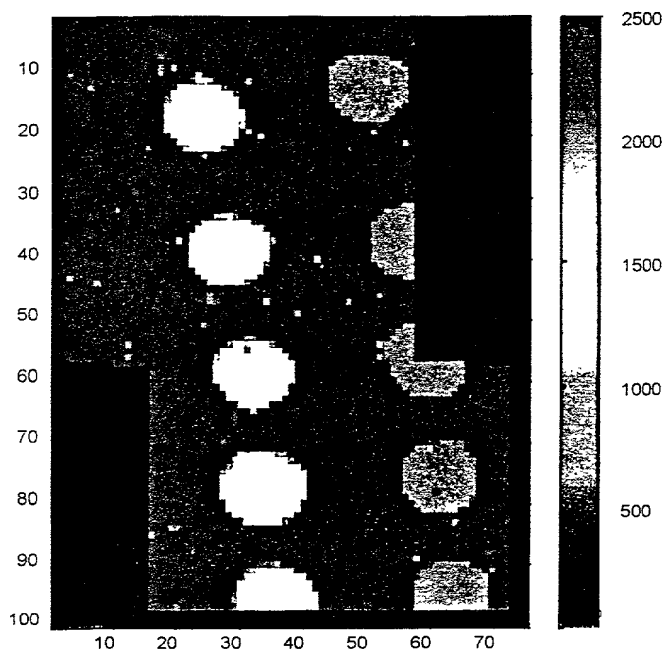


FIG. 6

FIG. 7A

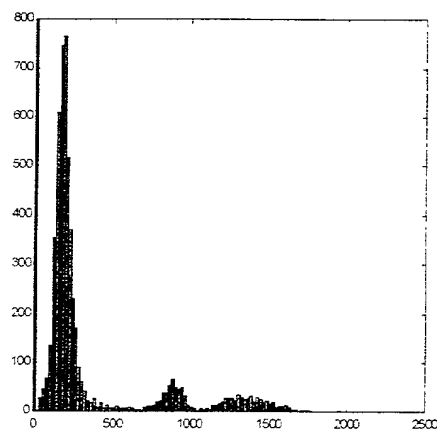
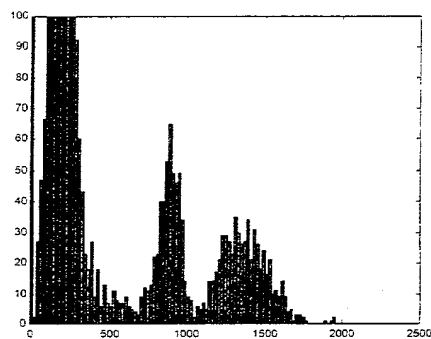


FIG. 7B



Declaration, Power Of Attorney and Petition

WE (I) the undersigned inventor(s), hereby declare(s) that :

My residence, post office address and citizenship are as stated below next to my name,

We (I) believe that we are (I am) the original, first, and joint (sole) inventor(s) of the subject matter which is claimed and for which a patent is sought on the invention entitled

METHOD AND DEVICE FOR DETECTING A MOLECULAR RECOGNITION REACTION

the specification of which

- ☐ is attached hereto.
- ☐ was filed on
as Application Serial No.
and amended on
- ☒ was filed as PCT international application
Number PCT/FR00/02703
on September 29, 2000
and was amended under PCT Article 19
on December 04, 2001

We (I) hereby state that we (I) have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

We (I) acknowledge the duty to disclose information known to be material to the patentability of this application as defined in Section 1.56 of Title 37 Code of Federal Regulations.

We (I) hereby claim foreign priority benefits under 35 U.S.C. § 119 (a)-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or § 365 (a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate, or PCT International application having a filing date before that of the application on which priority is claimed. Prior Foreign Application (s)

Application No.	Country	Day/month/Year	Priority Claimed	
99 12229	FRANCE	30 SEPTEMBER 1999	<input checked="" type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO
_____	_____	_____	<input type="checkbox"/> YES	<input type="checkbox"/> NO

We (I) hereby claim the benefit under Title 35, United States Code, § 119 (e) of any United States provisional application(s) listed below.

(Application Number)

(Filing Date)

(Application Number)

(Filing Date)

We (I) hereby claim the benefit under 35 U.S.C. §120 of any United States application(s), or § 365(c) of any PCT International application designating the United States, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of 35 U.S.C. § 112, I acknowledge the duty to disclose information which is material to patentability as defined in 37 CFR § 1.56 which became available between the filing date of prior application and the national or PCT International filing date of this application.

Application Serial No.

Filing Date

Status (pending, patented,
abandoned)

And we (I) hereby appoint : Norman F. Oblon, Registration Number 24,618; Marvin J. Spivak, Registration Number 24,913; C. Irvin McClelland, Registration Number 21,124; Gregory J. Maier, Registration Number 25,599; Arthur I. Neustadt, Registration Number 24,854; Richard D. Kelly, Registration Number 27,757; James D. Hamilton, Registration Number 28,421; Eckhard H. Kuesters, Registration Number 28,870; Robert T. Pous, Registration Number 29,099; Charles T. Gholz, Registration Number 26,395; William E. Beaumont, Registration Number 30,996; Jean-Paul Lavalleye, Registration Number 31,451; Stephen G. Baxter, Registration Number 32,884; Richard L. Treanor, Registration Number 36,379; Steven P. Weihrouch, Registration Number 32,829; John T. Goolkasian, Registration Number 26,142; Richard L. Chinn, Registration Number 34,305; Steven E. Lipman, Registration Number 30,011; Carl E. Schlier, Registration Number 34,426; James J. Kulbaski, Registration Number 34,648; Richard A. Neifeld, Registration Number 35,299; J. Derek Mason, Registration Number 35,270; Surinder Sachar, Registration Number 34,423; Christina M. Gadiano, Registration Number 33,428; Jeffrey B. McIntyre, Registration Number 36,867; William T. Enos, Registration Number 33,428; Michael E. McKabe Jr., Registration Number 37,182; Bradley D. Lytle, Registration Number 40,073 and Michael R. Casey Registration Number 40,294; our (my) attorneys, with full powers of substitution and revocation, to prosecute this application and to transact all business in the Patent Office connected therewith; and we (I) hereby request that all correspondence regarding this application be sent to the firm of OBLON, SPIVAK, McCLELLAND, MAIER & NEUSTADT, P.C., whose post Office Address is : Fourth Floor, 1755 Jefferson Davis Highway, Arlington, Virginia 22202.

We (I) declare that all statements made herein of our (my) own knowledge are true and that all statements made on information and belief are believed to be true ; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statements may jeopardise the validity of the application or any patent issuing thereon.

CHATON Patrick

NAME OF FIRST SOLE INVENTOR

Signature of Inventor

Date

March 05, 2002

Residence :

FRANCE

Citizen of :

Post Office Address : The same as residence

POUPINET Ludovic

NAME OF SECOND INVENTOR

L. Poupinet

Signature of Inventor

March 05, 2002

Date

3-00

GINOT Frédéric

NAME OF THIRD INVENTOR

Scout

Signature of Inventor

March 05, 2002

Date

4-00

NOVELLI ROUSSEAU Armelle

NAME OF FOURTH INVENTOR

Armelle Rousseau

Signature of Inventor

March 05, 2002

Date

NAME OF FIFTH INVENTOR

Signature of Inventor

Date

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Citizen of :

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